Automated Data Partitioning for Highly Scalable and Strongly Consistent Transactions

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Abstract—Modern transactional processing systems need to be fast and scalable, but this means many such systems settled for weak consistency models. It is however possible to achieve all of strong consistency, high scalability and high performance, by using fine-grained partitions and light-weight concurrency control that avoids superfluous synchronization and other overheads such as lock management. Independent transactions are one such mechanism, that rely on good partitions and appropriately defined transactions. On the downside, it is not usually straightforward to determine optimal partitioning schemes, especially when dealing with non-trivial amounts of data. Our work attempts to solve this problem by automating the partitioning process, choosing the correct transactional primitive, and routing transactions appropriately.

Index Terms—Distributed databases, code generation, concurrent programming

1 INTRODUCTION

Distributed transactional storage systems nowadays require increasing isolation levels, scalable performance, fault-tolerance and a simple programming model for being easily integrated with transactional applications. The recent growth of large scale infrastructures with dozens or hundreds of nodes needs transactional support ready to scale with them.

Many of the modern transactional storage systems have abandoned strong consistency (e.g., serializability) in order to achieve good scalability and high performance [4], [10], [19]. Weak consistency models (e.g., eventual consistency) incur the expense of allowing some non-serializable executions, which, if at all tolerated by the application requirements, are more difficult to deal with for the developers [30]. In fact, it was observed that developers prefer strong consistency when possible [6].

For this reason, transactional storage systems that offer serializability without forsaking high speed and high scalability, represent a very promising sweet spot in the overall design space. One system that approaches this sweet spot for On-Line Transaction Processing (OLTP) workloads is Granola, as proposed by Cowling and Liskov in [8]. Granola employs a novel transactional model, independent transactions, to keep overheads and synchronization to a minimum while guaranteeing serializable distributed transactions. To help reach its high transaction throughput, Granola relies on storing the data in main memory and operating upon it using transactions expressed in the application’s native programming language, as opposed to a query language like SQL. This essentially qualifies Granola as a Distributed Transactional Memory (DTM) system.

One key enabler for good performance in the Granola model is having the data organized in fine-grained, high-quality partitions that promote the use of single-partition and independent distributed transactions. This can be considered a drawback for Granola, as developers need to manually organize the data, choose the transaction primitives, and route transactions appropriately. This work focuses on eliminating this drawback by automating the three tasks.

To reach our goal, we adapt and extend an existing graph-based data partitioning algorithm, Schism [9], originally proposed for traditional, SQL-based databases. Our major contributions are: (A) Schism currently supports only single-partition transactions. We extend it to support independent transactions, such that the new proposed partitioning algorithm suggests partitions that favor both fast single-partition and independent transactions against the slower, Two-Phase Commit (2PC) coordinated transactions. (B) We develop a mechanism based on static program analysis for determining edge weights in the graph that Schism uses for proposing partitions. This essentially enables applying an algorithm like Schism (which only works on SQL) to independent transactions, or, more generally, to any DTM-style transactions expressed in a native programming language. (C) Transactional systems assuming partitioned data usually delegate the task of routing transactions to the appropriate partitions to the programmer. We contribute a machine-learning based mechanism for routing transactions. This is essential for enabling any kind of automatic partitioning for Granola or any

1. A preliminary version of this paper appeared in [34]
other DTM environment, where a transaction’s access set is not known a priori. (D) To the best of our knowledge, this is the first work that provides an end-to-end automated framework for exploiting independent transactions. (E) We discuss the applicability of independent transactions to several popular benchmark workloads, thus showing independent transaction can be used in a wide range of OLTP workloads. To the best of our knowledge, we are the first to use the Granola model for workloads other than TPC-C.

Additional minor contributions include automatic program refactoring for run-time trace collection, and automatic choice of an appropriate transaction primitive based on static program analysis.

We frame our work in a DTM environment because: (i) similar environments were shown to support much higher transaction throughputs than traditional OTLP workloads [31], and (ii) our choice presents us with some interesting problems that allow us to innovate.

The rest of the paper is organized as follow. In Section 2 we describe Granola and Schism. Section 3 overviews the system model. The automatic framework is presented in Sections 4, 5, 6. Section 7 discusses our chosen workloads in the context of independent transactions. In Section 8 the framework’s evaluation is reported. Section 9 discusses past and related works and Section 10 concludes the paper.

2 Background

2.1 Granola: Independent Transactions

Granola [8] is a transaction coordination infrastructure proposed by Cowling and Liskov. Granola targets On-Line Transaction Processing (OLTP) workloads. Granola is a Transactional Memory (TM) system, as it expresses transactions in a native programming language and operates on data stored in main memory for performance reasons. Synchronization overheads are kept to a minimum by executing all transactions within the context of a single thread. This approach reduces the need for locking, and was shown to improve performance compared to conventional databases in typical OLTP workloads [31], [13], [16].

Granola employs a novel timestamp-based transaction coordination mechanism that supports three classes of one-round transactions. Single-Repository Transactions are invoked and execute to completion on one repository (partition) without requiring any network stalls. Coordinated Distributed Transactions are the traditional distributed transactions that use locking and perform a two-phase commit process. Additionally, Granola proposes Independent Distributed Transactions, which enable atomic commitment across a set of transaction participants, without requiring agreement, locking and with only minimal communication in the coordination protocol.

Single-repository and independent transactions execute in timestamp mode. These transactions are assigned an upcoming timestamp, and executed locally in timestamp order. Repositories participating in independent distributed transactions need to coordinate to select the same timestamp. Each participant proposes a timestamp for executing the transaction, and broadcasts its proposal (vote) to the other participants. Once all votes are received, the final timestamp is selected locally as the maximum among all proposals. This selection is deterministic, and the coordination it requires is very light-weight (needs only one messaging round). At the selected time, the transaction can execute without any stalls or network communication.

In order to execute coordinated transactions, the repository needs to switch to locking mode. In locking mode, all transactions must acquire locks (thus incurring overheads), and can not use the fast timestamp-based execution. Furthermore, coordinated transactions must undergo a slow two-phase commit. The repository can revert to timestamp mode when all coordinated transactions have completed.

Granola provides strong consistency (serializability) and fault-tolerance. Data is partitioned between the Granola repositories – with each repository managing one partition – although it is also possible to keep some of the data replicated among repositories to improve performance. Each repository consists of one master and several replicas. The replicas are used for fault-tolerance, not for scalability. Most transactions must be executed by the master node of each repository – the only exception is for read-only, single-repository transactions, which can run on the replicas.

In Granola, single-repository and independent distributed transactions never conflict, because they are executed sequentially using a single thread. This means mechanisms employed for rollback and aborts, such as locking and undo- or redo-logging, are not needed for these transaction classes, reducing overheads and improving performance.

Granola transactions do have restrictions that limit their applicability and place further requirements on the potential partitioning schemes: (A) Independent transactions must reach the same commit decision, independently, on every participating repository. This is possible when the transaction never aborts (e.g., read-only transactions), or the commit decision is based on data replicated at every participating repository. (B) All transactions must be able to complete using only data available at the current repository. This is a firm requirement for single-repository and independent transactions, but could potentially be relaxed for coordinated transactions.

Performance in Granola depends on how the workload and partitioning scheme are able to exploit fast single-repository and independent transactions. The user must manually define the partitioning scheme, implement the transactions using the appropriate classes, and route transactions correctly. Furthermore, the partitioning scheme must be compatible with the Granola restrictions outlined above. This paper aims
to automate this partitioning process.

2.2 Schism: Graph-Based Partitioning

Curino et al. presented Schism [9], the approach for automated data partitioning that we build upon in this work. Besides lacking support for independent transactions, Schism as is cannot be applied to stored-procedure style DTM transactions, which further motivates our work. For completeness, in this section we overview Schism and describe how it works.

Schism takes as input a representative workload in the form of an SQL trace, and the desired number of partitions. It then proposes partitioning and replication schemes that minimize the proportion of distributed transactions, while promoting single-partition transactions. This is done in order to increase performance, as single-partition transactions are fast. The proportion of distributed transactions is a measure of the partitioning quality. The fewer distributed transactions there are, the higher the partitioning quality. The partitioning process has four phases:

First, the graph representation phase converts the SQL trace into a graph. Nodes in this graph represent data items (database tuples/transactional objects) that were encountered in the trace. Two nodes are connected by an edge if they were accessed together within the same transaction. Thus, the representation of a transaction takes the form of a clique: the tuples accessed by the transaction are all interconnected. An example is shown in Figure 1. A number of heuristics are applied to promote scalability, such as tuple and transaction sampling, and coalescing tuples accessed by the same set of transactions into a single node.

The graph is then modified by replacing each node with a star-shaped configuration of nodes. This is done in support for data replication. A node $A$ which previously had $n$ neighbors, is replaced by $n+1$ nodes: one in the center, $A_0$, which is connected to $n$ new nodes ($A_1$,...,$A_n$) by edges representing the cost of replicating the original node $A$. Each of these new nodes is then connected by a single edge to another node representing the original neighbors. This processing can also be explained as replacing each edge in the original graph by three edges connected in sequence: the two outer edges represent the cost of replicating the data, and the middle edge represents the cost of entering a distributed transaction. An example is illustrated in Figure 2.

In the partitioning phase, the previously constructed graph is partitioned using a standard k-way graph partitioning algorithm. The authors used the program METIS [17] for this purpose. This is an optimization problem, where the primary target is minimizing the cumulative cost of the edges that cut across partitions. This is equivalent to minimizing the number of distributed transactions. A secondary target is balancing the partitions with respect to node weights. Node weights can be assigned based on either data size, or number of transactions, depending on whether the partitions should be balanced in storage size or load.

For small workloads, the output of the partitioning phase can be used as-is, by means of a lookup table. Newly created tuples would initially be placed on a random partition, while a separate background task periodically recomputes the lookup table and migrates data appropriately. This method however can not be applied to large datasets for two reasons: (i) creating and partitioning the graph without sampling is limited by the available memory and processing time, and (ii) the lookup table size is similarly limited by the available memory.

These reasons motivated Schism’s explanation phase. In the explanation phase a more compact model is formulated to capture the tuple → partition mappings as they were produced in the partitioning phase. Schism does this by employing machine learning, or more specifically, C4.5 decision trees [28] as implemented in the Weka data mining software [12]. The resulting models are essentially sets of range rules, and are useful if they satisfy several criteria: they are based on attributes present as WHERE clauses in most SQL queries, they do not significantly reduce the quality of the partitions by misclassification, and finally, they work for new, previously unseen queries, as opposed to being over-fitted to the training set. To satisfy these criteria, the authors employed strategies such as placing limitations on the input attributes to the classifier, using aggressive pruning and cross-validation, and discarding classifiers that degrade the partitioning quality.

Lastly, the final partitioning scheme is chosen in the final validation phase. The candidates considered are (i) the machine-learning based range rules, (ii)
Fig. 3. Example atomic blocks. In a, objects are assumed to not need opening before being accessed, as is common for Software Transactional Memory (STM). b. shows the same atomic block written to Hyflow’s API, also including object opening.

3 System Overview

Our partitioning methodology was designed and implemented in the context of a Distributed Transactional Memory (DTM) system. DTM systems store data in main-memory, and access it using transactions expressed in a programming language (usually the same as the rest of the application), as opposed to a separate query language. Declaring and running transactions in DTM should be as simple as possible: ideally the transaction code is simply written inside an atomic block, as exemplified in Figure 3(a).

Our choice of environment (DTM) and transaction model (Granola’s independent transactions) make Schism impossible to apply directly, because:

(A) Schism does not support independent transactions. Any distributed transactions in Schism would have to be 2PC-coordinated, which degrades performance.

(B) Schism makes no effort to prevent data dependencies across partitions. At best, such dependencies are incompatible with independent transactions. At worst, they are incompatible with Granola’s single-round transaction model, leading to unusable partitions.

(C) Schism assumes transactions are expressed in SQL code, whose WHERE clauses can trivially be inspected to obtain information about the dataset of a transaction, which is then used to route each transaction to the appropriate partitions. Given that transactions in our system are not expressed in parsable query code, but are stored procedures written in a programming language, the task of routing transactions becomes significantly more complicated.

Our implementation is based around Hyflow2 [35], a JVM-based DTM framework written in Scala. We implemented the Granola protocol in this DTM framework. Unlike Granola, which relies on opaque upcalls from the framework to the application and lets the application code to handle locking and rollback mechanisms, we opted to provide a more friendly API and let the framework deal with these mechanisms. Figure 3 (b) shows an example transaction.

3.1 Partitioning Process

This section provides a brief description of the partitioning process. In a production system, this process would run periodically alongside transaction processing, and dynamically migrate objects at run-time. Our implementation however, being only a prototype, performs the partitioning off-line.

The first phase in our partitioning workflow performs static analysis and byte-code rewriting on all transactional routines in the workload. This step serves three purposes. Firstly, it collects data dependency information which is later used to ensure the proposed partitioning schemes are able to comply to our chosen one-round transactional model (no data dependencies are allowed across partitions). Secondly, it extracts summary information about what operations may be performed inside each atomic block, to determine whether an atomic block is abort-free or read-only. Finally, each transactional operation is tagged with a unique identifier to help make associations between the static data dependencies and the actual objects accessed at run-time.

The second phase is collecting a representative trace for the current workload, which includes a record for every transactional operation performed. Each record contains the transaction identifier, the type of operation, the affected object, and the operation’s identifier as previously tagged.

The next three phases are similar to the corresponding phases in Schism. The graph representation phase converts the workload trace into a graph where nodes represent objects and edges represent transactions. This graph is governed by the same rules as in Schism (see Section 2.2). Additionally, edge weights are updated to reflect the new transaction models, along with their restrictions and desirability. The graph is then partitioned using METIS in the partitioning phase. The result from this step is a fine-grained association from object identifiers to partitions. A concise model of these associations is created using WEKA classifiers in the explanation phase.

The final phase is concerned with transaction routing and model selection. While in Schism routing information was easily extracted from the WHERE clause of SQL queries when available, our atomic block model for expressing DTM transactions prohibits using a similar approach. We thus introduce a machine-learning based routing phase. The data used to train this classifier is derived from the workload trace, using the object-to-partition mapping. Finally, a transaction model is selected for every transaction class based on the number of partitions it needs, whether it may abort, and whether it writes any data (or is read-only).
3.2 Run-Time Behavior

During the previously described process, we train two sets of classifiers. The first set is tasked with object-to-partition mapping. These classifiers determine the object placement, and we will call them the placement classifiers. While it may reduce the quality of the resulting partitions, misclassification at this stage is mostly harmless, since it is the classifier that dictates the final object placement.

The second set of classifiers are the routing classifiers. They are used on the client side (i.e., in the thread that invokes the transaction) to decide which nodes to contact for the purpose of executing the current transaction. Due to the transactions being expressed as regular executable code, this information is not readily available until the code is run. Inputs for these classifiers are the parameters passed to the transaction. Misclassification at this stage has the potential to be harmful, as a misrouted transaction may not have access to all objects needed to execute successfully. We address this situation by allowing misrouted transactions to abort and restart on a larger set of nodes.

Finally, we do not require users to be aware of the partitioning scheme or the transaction execution model when writing transaction code. Thus, users should be able to write a single atomic block, and the system would make sure the appropriate code branches will execute at the corresponding partitions. In our prototype implementation, the same code is expected to execute properly on all partitions. This requires a defensive programming style, which checks that the return value of certain object open operations is not null. While this is a good practice anyway for error handling, our current implementation explicitly uses null references to denote an object is located at another partition.

4 Static Analysis

Our static analysis phase is motivated by three factors: (i) determining data dependencies in order to avoid dependencies across partitions, (ii) determining which transactions can abort in order to choose the correct transaction model, and (iii) help with recording workload traces. Simply observing runtime behavior is insufficient — for instance, observing a particular transaction profile never aborted as recorded in a runtime trace does not constitute a guarantee that it can never abort.

Our static analysis phase is implemented using the Soot Java Optimization Framework [20]. Since we operate on JVM bytecode, few of the mechanisms described in this section are actually specific to Scala — transactions could just as easily be expressed in Java, with only simple changes required to the static analysis mechanisms. We make several passes over every application method.

4.1 First Pass

The first pass serves three purposes: (i) it identifies transactional methods, (ii) it tags transactional operations, and (iii) it records associations between the classes Scala uses for anonymous functions and their main method which contains the application code.

To identify transactional methods, we iterate over all units of each method (units are Soot’s abstraction over the JVM byte-code). We look for invocations of certain methods and references to objects of certain classes that are usually associated with transactions — these are listed in Table 1. Methods that match are recorded as transactional methods.

In addition to recording transactional methods, we tag units representing invocations to the methods in Table 1. Tags are a feature in Soot that can associate information with any unit, for easier retrieval. Within the tag we store what kind of transactional operation this invocation represents (e.g., object open, object delete, field read, field write, transaction abort, etc.), and an integer uniquely identifying each invocation site (we name this integer the tag id).

Scala uses classes inheriting AbstractFunctionN to implement anonymous functions (closures). The application code is usually located in a method named apply which takes arguments of the appropriate types. Scala however defines another polymorphic method with the same name, but with arguments of type Object (the root base class on the JVM). This method acts as a stub — its purpose is to convert (typecast or un-box) all arguments to the correct specific type and call the apply method containing the application code. For the purpose of our static analysis the stub method is not interesting. We thus record the association between the AbstractFunctionN-derived class and the apply method containing application code, but only if apply is a transactional method as defined above.

4.2 Second Pass

Once all transactional methods and transactional anonymous function classes are known, we construct a static invocation graph. This is done in the second analysis pass. As before, we pay attention to method invocations, but targeting the previously identified transactional methods. We first add all transactional methods as nodes in the invocation graph. Any invocation of method g from within method f adds to the graph directed edge f → g.

Besides direct invocations of transactional methods, we also add indirect invocations to the graph. Scala is a functional language and has support for higher-order functions (functions that take other functions as parameters). An invocation site is included in the graph when a previously identified transactional
state with a state object formed from the value and are then retrieved and merged. We further merge this the values. The states associated with the origin units traced back to the unit, and categorize them into two sets, for node dependencies and (ii) a set of value dependencies. This representation has two parts: (i) a set of structure that can hold a representation of its dependency information for each transactional method. It processes each method, taking as input its bytecode to be analyzed is shown in Figure 4(a). Notice how many intermediate values are held in variables of their own. This emulates the behavior of Soot, which will indeed use separate locations for every intermediate value, greatly simplifying the static analysis. For clarity, we show a simplified version. In Figure 4(b) we show the direct dependencies of each node and value in the code. Following the data-flow analysis, units have an associated state storing all their dependencies, shown in Figure 4(c) as the set of all edges pointing to a particular block. Finally, the dependency graph is created by discarding all non-node values (Figure 4(d)).

<table>
<thead>
<tr>
<th>Method signature / Ref type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>TxnExecutor.apply(block: Function)</td>
<td>Invokes a transaction given an anonymous function as an atomic block. The block can be a top-level transaction, and thus an entry point for the subsequent analysis phases.</td>
</tr>
<tr>
<td>TxnExecutor.apply(name: String, args: Array)</td>
<td>Invokes a pre-registered transaction given its name.</td>
</tr>
<tr>
<td>HRef.Apply()</td>
<td>Registers an atomic block to execute as a transaction when invoked by name.</td>
</tr>
<tr>
<td>HRef.update(val: X)</td>
<td>Reads and writes, respectively, a field of a transactional object. Used to extract data dependencies between objects. Also used to identify read-only transactions.</td>
</tr>
<tr>
<td>Txn.rollback()</td>
<td>Permanently or temporarily aborts a transaction. Used to identify non-aborting transactions and data dependencies leading to an abort decision.</td>
</tr>
<tr>
<td>Directory.open(id: Product)</td>
<td>Opens and deletes a transactional object, respectively. Used to extract data dependencies between objects.</td>
</tr>
<tr>
<td>Directory.delete(id: Product)</td>
<td>Transactional objects and fields, respectively. Any references to these types tag the containing method as transactional (and therefore, of interest).</td>
</tr>
</tbody>
</table>

**Algorithm 1** Forward data-flow analysis pseudocode.

```plaintext
for each unit ← allUnits do
    allDeps ← unit.getDirectDeps()
    (nodeDeps, valueDeps) ← allDeps.partition( isNodeDep _ )
    valueDeps_originUnits ← valueDeps.getOriginUnits()
    valueDeps_states ← getStateForAll( valueDeps_originUnits )
    merged_valueDepState ← mergeAll( valueDeps_states )
    currentState ← new DepState( valueDeps, nodeDeps )
    newValue ← merge( currentState, merged_valueDepState )
    storeStateForUnit( unit, newValue )
end for
```

After the data-flow analysis, we construct the dependency graph. Starting with an empty graph, we add nodes for all the units of interest. Then we iterate over all nodes A in the graph, adding edges from B to A, for all node-dependencies B of A.

We illustrate this process in Figure 4. The source code to be analyzed is shown in Figure 4(a). Notice how many intermediate values are held in variables of their own. This emulates the behavior of Soot, which will indeed use separate locations for every intermediate value, greatly simplifying the static analysis. For clarity, we show a simplified version. In Figure 4(b) we show the direct dependencies of each node and value in the code. Following the data-flow analysis, units have an associated state storing all their dependencies, shown in Figure 4(c) as the set of all edges pointing to a particular block. Finally, the dependency graph is created by discarding all non-node values (Figure 4(d)).

### 4.4 Byte-code Rewriting and Trace Collection

Once all transactional method invocation sites are known and tagged, we rewrite the method byte-code to make certain information available at run-time. For every invocation of a transactional operation (object open, field read/write, etc.), we change the invocation to a different method that acts as a wrapper around

### 4.3 Third Pass

The third analysis pass extracts internal data dependency information for each transactional method. It processes each method, taking as input its bytecode as tagged in passes 1 and 2. The output is a directed graph representing data dependencies between accessed objects and external methods invoked. Firstly, nodes are created in the output graph for important transactional operations that are the targets of the dependency analysis. Such operations are object open, create, delete, transaction abort, and also external method invocations, as tagged in previous steps.

This pass is implemented as a forward data-flow analysis. Each Soot unit has an associated state data-structure that can hold a representation of its dependencies. This representation has two parts: (i) a set of node dependencies and (ii) a set of value dependencies. A node dependency occurs when the result of an important operation (i.e. a transactional object that has been opened) is used in a subsequent statement. Value dependencies occur when any other (i.e., non-node) value is used in a subsequent statement. The latter do not have a presence in the dependency graph, but help propagate dependencies between nodes.

Initially, all the state data-structures are empty. We identify the direct dependencies for every Soot unit, and categorize them into two sets, for node and value dependencies. The value dependencies are traced back to the origin unit that defined each of the values. The states associated with the origin units are then retrieved and merged. We further merge this state with a state object formed from the value and node dependencies. Finally, we store the resulting state for the current Soot unit. Pseudocode for this process is shown in Algorithm 1.
Log entries contain:

- within those, the important transactional operations.

The important transactional operations result in a log of all the transactions executed, and the workload using the modified byte-code. This will include the transaction entry point.

- call, MUID and name for the invoked method; For transactional method call: tag id, type of method.

Each transactional operation includes:

- details: Method Unique Identifier (MUID) for each method call, MUID and name for the invoked method; For each transactional operation: tag id, type of method call, MUID and name for the invoked method; For each type of transaction: transaction name, MUID for transaction entry point.

The tag id is filled in by the byte-code rewriter, as an integer constant.

Other outputs from the static analysis process are:

- the intra-method dependency graph. Rectangles represent nodes (units of interest), rounded rectangles are values.

The desired operation. This wrapper method takes an extra argument, the tag id (i.e., an invocation site identifier), which it logs before passing control to the transactional operation. The tag id is filled in by the byte-code rewriter, as an integer constant.

Other outputs from the static analysis process are:

- the static dependency graphs for all the methods, the global static invocation graph, and a few other details: Method Unique Identifier (MUID) for each transactional method; For each transactional operation invocation: tag id, type of operation; For each transactional method call: tag id, type of method call, MUID and name for the invoked method; For each type of transaction: transaction name, MUID for transaction entry point.

Next, a representative trace is collected by running the workload using the modified byte-code. This will result in a log of all the transactions executed, and within those, the important transactional operations. Log entries contain:

- Transaction id. Differentiates between multiple concurrent transactions.
- Operation name, such as atomic (transaction request), txn begin/commit/abort, obj create/open, field read/write.
- Tag id. Identifies the static invocation site that generated this log entry. Available for txn abort, obj create/open, field read/write.
- Operation specific data. Generally, this is the runtime object id this operation acts upon. For atomic and txn begin, this is a string representing the transaction type.

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The second rule refers to independent transactions as compared to coordinated transactions. These two

5 Graph Representation and Partitioning

Once a trace is available, it is parsed and converted to a graph where nodes represent objects and edges represent transactions, as described in Section 2.2. A number of heuristics limit the size of the graph, such as object and transaction sampling, and coalescing the nodes that are accessed by the same set of transactions. Edges are assigned weights such that the resulting partitioning is optimized.

5.1 Edge Weights

We now explain the process of assigning edge weights. We aim to satisfy several conditions and optimization criteria: (i) Due to the Granola transaction model, we can not easily allow data dependencies between partitions. Make a best effort attempt not to allow such dependencies. (ii) When possible, favor independent transactions to coordinated transactions. (iii) Favor single-node transactions to any kind of distributed transactions.

To satisfy the first rule, we assign the highest weights to all edges that connect objects having data dependency relationships with each other (heavy edges). For example, in Figure 5(a) we show the static dependency graph for a transaction. Nodes 1, 2, 3 and 4 represent static invocation sites for some transactional operations. At run-time, one execution of this transaction uses objects A, B, C and respectively, D, at the four static invocation sites. The system would assign the following heavy edges: A-B, A-C, A-D and C-D (Figure 5(b)). They denote the A → B dependency, and the A → C → D chain.

In our current implementation we use a very high weight (10,000) for heavy edges, effectively enforcing that no such edges will be broken. We should note that, with the Granola repository in locking mode, accessing remote objects would be possible, but with a penalty in performance. As such, instead of making heavy edges unbreakable, we could let the optimization process figure out if it may be, in fact, more desirable to break a small number of heavy edges instead of breaking a larger number of lighter edges. Thus our process could be extended with a heuristic that assigns weights to heavy edges based on the workload characteristics, instead of using a large constant as we do now.

The second rule refers to independent transactions as compared to coordinated transactions. These two

Fig. 4. Forward data-flow analysis example for extracting the intra-method dependency graph. Rectangles represent nodes (units of interest), rounded rectangles are values.

(a) Analyzed source code.

(b) Direct dependencies

(c) Data-flow analysis

(d) Final dep graph

Fig. 5. Example partitioning graph for a txn with data deps.

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To satisfy the first rule, we assign the highest weights to all edges that connect objects having data dependency relationships with each other (heavy edges). For example, in Figure 5(a) we show the static dependency graph for a transaction. Nodes 1, 2, 3 and 4 represent static invocation sites for some transactional operations. At run-time, one execution of this transaction uses objects A, B, C and respectively, D, at the four static invocation sites. The system would assign the following heavy edges: A-B, A-C, A-D and C-D (Figure 5(b)). They denote the A → B dependency, and the A → C → D chain.

In our current implementation we use a very high weight (10,000) for heavy edges, effectively enforcing that no such edges will be broken. We should note that, with the Granola repository in locking mode, accessing remote objects would be possible, but with a penalty in performance. As such, instead of making heavy edges unbreakable, we could let the optimization process figure out if it may be, in fact, more desirable to break a small number of heavy edges instead of breaking a larger number of lighter edges. Thus our process could be extended with a heuristic that assigns weights to heavy edges based on the workload characteristics, instead of using a large constant as we do now.

The second rule refers to independent transactions as compared to coordinated transactions. These two

Fig. 4. Forward data-flow analysis example for extracting the intra-method dependency graph. Rectangles represent nodes (units of interest), rounded rectangles are values.

(a) Analyzed source code.

(b) Direct dependencies

(c) Data-flow analysis

(d) Final dep graph

Fig. 5. Example partitioning graph for a txn with data deps.

5 Graph Representation and Partitioning

Once a trace is available, it is parsed and converted to a graph where nodes represent objects and edges represent transactions, as described in Section 2.2. A number of heuristics limit the size of the graph, such as object and transaction sampling, and coalescing the nodes that are accessed by the same set of transactions. Edges are assigned weights such that the resulting partitioning is optimized.

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models differ in that coordinated transactions execute a two-phase commit round, and thus allow reaching the commit/abort decision based on data not available at all repositories. Independent transactions can be used when the transaction does not need to abort, or reaches a commit/abort decision based on data available to all participating repositories.

To encode this in the partitioning graph, we first identify abort operations. If a transaction does not have any abort operations, it may be executed using the independent transaction model. Thus all remaining edges in such a transaction receive the lightest weight possible (10, we call these light edges). On the other hand, if a transaction does have abort operations, we want to encourage replication of all objects that were used in the commit/abort decision, as opposed to entering a coordinated transaction. Thus we use a medium weight (500) for the edges connecting to such objects. We call these mid-weight edges.

This use-case may lead to replicating an object, even if the object is only accessed by one transaction. This behavior is new to our work, and requires an adjustment to Schism’s handling of replicated nodes, which was described in Section 2.2. Previously, a replicated node for object A was created for each transaction that accessed object A. With our use-case, it is possible that more than one replicated node is required for the same transaction. This applies for the objects that lead to a commit/abort decision and may be replicated internally.

To better explain this behavior, we provide an example in Figure 6. The static dependency graph is shown in Figure 6(a). The transaction makes an abort decision based on an object opened at invocation site 1. Separately, it accesses three more objects (at sites 2, 3 and 4), with a data dependency between sites 2 and 3. Assuming at run-time, the objects accessed are A, B, C and respectively, D, this transaction translates to the partitioning graph shown in Figure 6(b). Object A has three replica nodes, one for each object in the transaction, arranged in a star-shaped configuration. The cost of replication edges are determined based on access patterns to object A throughout the workload. Because object A is used to make a commit/abort decision, its replicas connect to the other objects in the transaction using mid-weight edges $A_R - B$, $A_R - C$, and $A_R - D$. The other edges are heavy or light edges, based on the existence of dependency relationships.

Two possible partitioning schemes are shown in Figures 6(c) and 6(d). In Figure 6(c), object D and one replica of object A are separated from the rest of the objects. This may happen, for example, when object A is rarely written to, and the cost of replicating it is therefore low. In this case, the transaction runs as an independent transaction. Alternatively, Figure 6(d) shows a partitioning scheme where only object D is separated from the others. There is no replication of object A, but the transaction must be coordinated.

\[ \text{Legend: } \]
- Replication edges
- Light edges
- Mid-weight edges
- Heavy edges

Fig. 6. Partitioning graph example in the presence of aborts. The correspondence between the static invocation sites and objects accessed at runtime is: 1-A, 2-B, 3-C, 4-D.

5.2 Partitioning and Explanation

Once weights are assigned, we let METIS solve the optimization problem and propose a partitioning scheme. The result is a fine-grained association from objects to partitions. This can be used as-is only for small workloads. Specifically, we can not use object sampling to keep the problem size small, because the system would not know what to do with objects that do not appear in the mapping. If the problem size increases too much, running time and memory requirements rapidly increase as well.

We thus employ an explanation phase, where we train machine learning classifiers (using the Weka library) based on the fine-grained mapping. As opposed to Schism, we do not need to restrict our classifiers to be rule-based. Instead, we can use any classifier that works best for the current workload. This is possible because we have the whole stack under our control, and thus we do not need to restrict ourselves to what could be encoded efficiently in SQL. Although the current prototype hard-codes a single classifier type, we envision training a forest of classifiers in parallel, and choosing the ones that produce the best end-to-end results.

We train one classifier for each different type of objects. As in Schism, we use virtual partition numbers to represent replicated objects. For example, if there are two partitions in the system, $P=1$ and $P=2$, we use $P=3$ as a virtual partition to represent objects replicated on both partitions.

6 Transaction Routing

Our system uses a stored procedure execution model, invoking transactions using the transaction’s name and a list of arguments. Not knowing in advance the data each transaction is going to access makes it difficult to determine the partitions each transaction needs to be routed to. Using a simple directory based

...
approach would be impossible. In Schism, the data a transaction will access is essentially known in advance — one looks at the WHERE clause of the SQL query for a quick decision about where to route transactions. This approach does not work in our situation.

Instead, we need to establish a link between a transaction’s input arguments and the set of partitions it needs to be routed to for execution. For this, we again turn to machine learning, and employ another set of Weka classifiers. We train these routing classifiers using a workload trace. No static knowledge is used for routing. For each transaction in the trace, we want to route to at least the following partitions:

- Partitions that replicate any object in the writeset.
- A minimal set of partitions $R$, such that for any object $X$ in the read-set, at least one partition $P \in R$ replicates object $X$.

Finding $R$ is known as the hitting-set problem, which is NP-complete. Algorithms exist that approximate $R$, but are exponential in time [2]. We compute an approximation of the set $R$ using a simple heuristic (Greedy), and we use that approximation to train our classifiers. This will be the output of the classifier. The input to the classifier is the list of arguments being passed to the transaction.

In our current implementation, we let the clients route transactions as they issue them. This is acceptable in a DTM environment where clients and servers are co-located. If clients cannot be trusted with the identity of the servers, or the servers are located behind a firewall, it would be possible to employ a dedicated router/gateway process.

Classifiers do not always yield 100% accuracy. Misclassification at the routing stage may mean more nodes are contacted than strictly necessary, which is a benign situation. However, it is also possible that not enough nodes are contacted to allow completing the transaction. In such a situation, the transaction should abort on all currently participating nodes, and restart on a superset of the nodes. Algorithm 2 describes how to handle this situation (our prototype does not implement this mechanism yet).

### Algorithm 2 Proposal for handling misrouted transactions.

```plaintext
N_CRT = the set of nodes participating in this transaction
upon open(X) = failed do
  ▷ find $N_{REPL}$, the set of nodes that replicate object $X$
  $N_{REPL}$ ← placement classifier (X)
  if $N_{REPL} \cap N_{CRT} \neq \emptyset$ then
    return ▷ X can be processed on a different node and
    ▷ the transaction can continue normally
  end if
  if current txn may write to X then
    ▷ from static analysis
    Restart txn on $N_{CRT} \cup N_{REPL}$
  else
    Restart txn on $N_{CRT} \cup \text{ANY}(N_{REPL})$
  end if
```

The primitive to be used when executing a transaction is decided after the transaction has been routed. If only one repository is involved, the single-repository model will be chosen. For distributed transactions that do not explicitly abort (as identified in the static analysis phase) the independent transaction model is chosen. All other transactions use the coordinated model. This approach can be further refined by determining whether the decision to abort is made based on data available at all nodes. If so, an independent transaction can be used.

## 7 Experimental Setup

We evaluate our partitioning process using several popular On-Line Transaction Processing (OLTP) benchmarks: TPC-C [7], TPC-W, AuctionMark, EPinions and ReTwis. These workloads (especially TPC-C) have been employed in many recent works [32], [16], [8], but while these works assume a manual partitioning, we employ our system in order to automatically derive the partitioning schemes. In our evaluation, we run transactions back-to-back using the Granola model and compare the manual partitioning against our automatic partitioning for each benchmark. We reiterate that the Granola model requires data partitioning and can not function without it. Additionally, we compare against PaxosSTM [18], a modern state-machine replication based DTM system that does not support partitioning, and Horticulture [25] (the automatic partitioner of H-Store [16]), where available.

Throughput measurements were obtained on the NSF PRObE testbed [11] with up to 20 physical machines. Each machine has 64 AMD Opteron 6272 cores running at 2.1GHz, and 128GB RAM. Machines communicate over a 40GB Ethernet network. Experiments were allowed sufficient time for warming-up before the measurement was started. Data points represent the average across eight measurements. Experiments were conducted without batching to avoid differences in throughput due to details in the batching implementation (batching is an orthogonal feature).

PaxosSTM supports snapshot reads, an optimization in the execution of read-only transactions. This optimization depends on the use of multi-versioning and full replication in the underlying protocol, and can negatively affect the freshness of the data available to read-only transactions. Snapshot reads are not available in Granola because Granola does not offer multi-versioning or full replication.

Our work is the first to apply Granola independent transactions to any other benchmark besides TPC-C. In doing so, we show that independent distributed transactions can be used in a wide range of OLTP workloads. In the rest of this section we discuss the benchmarks we used in our evaluation and why the Granola transaction model is appropriate in each case. We also describe the manual partitioning schemes that we used in our evaluation. In deriving the manual
partition schemes, we took inspiration from the TPC-C partitioning, which is known to be optimal. While we cannot claim our partitions are optimal, they are still effective at minimizing distributed transactions.

**TPC-C** emulates a wholesale supplier with a number of geographically distributed sales districts and associated warehouses. It consists of 9 kinds of objects (i.e., tables, in database terminology) and 5 transaction profiles (i.e., stored procedures). All objects can be grouped based on the parent warehouse, except items, which are global. The manual partitioning scheme we used is known to be optimal. It groups each warehouse and all related objects, distributing the groups across partitions and replicating all items at all partitions. Using this scheme, Granola is able to execute all transaction profiles as either single-node or independent transactions [8]. This is because the only transactions that ever abort (NewOrder) do so based on data available at all partitions (the Item objects), and thus distributed coordination is never required.

**TPC-W** emulates an e-commerce website. It consists of 8 kinds of objects and 14 transaction profiles. None of the transaction profiles ever abort, and can thus be trivially executed using single-node or independent transactions. One of the frequently used transaction profiles (BestSellers) is very long and would appear poorly suited for Granola’s single-thread execution model. However, in a production setting, the results of such a transaction would be cached outside the repository, amortizing the impact of long transactions. The manual partitioning scheme we adopted replicates item, author and country objects at all partitions, and groups each customers with all related objects, distributing (sharding) groups across all partitions. This is similar to the TPC-C scheme, with the customers treated like the TPC-C warehouses.

**AuctionMark** simulates the workload of a popular auction website. The benchmark is complex and consists of 13 kinds of objects and 14 transaction profiles. Only one of the transaction profiles (UpdateItem, representing 2% of the workload) needs to abort. This transaction would need to execute using 2PC coordination when it accesses data from multiple partitions. This leaves the remaining distributed transactions (12% of the workload) able to execute using the independent transaction model. The manual partitioning scheme we used groups each user together with all the related objects (including the items a user sells) – users are then sharded across all partitions.

The **EPinions** benchmark simulates the popular website with the same name. This workload manages users and products, as well as the many-to-many relationships between them (trust and reviews encode the relationships between two users, and between an user and a product, respectively). There are 5 kinds of objects and 9 transaction profiles. None of the transaction profiles abort, thus independent transactions can trivially be used for all distributed transactions. The manual partitioning scheme we adopted for this workload replicates everything everywhere, thus executing all write transactions as distributed transactions, while executing read transactions locally. For a read-dominated workload, this scheme results in fewer distributed transactions when compared to a scheme that uses sharding. With sharding, many read-only transactions would need to execute distributed, because they involve two users (a buyer and a seller).

Our final workload, **ReTwis**, is a Twitter clone originally designed to run on a non-transactional key-value store. We converted all its operations to transactional stored procedures, thus strengthening consistency and eliminating many round-trips between the application and the data-store. None of the transactions abort – all distributed transactions can execute using the independent transaction model. The manual partitioning we adopted for this benchmark groups users with all related objects, then shards users across all partitions. It is hard to define a more effective partitioning scheme without a deeper knowledge of the social graph in the workload.

### 8 Evaluation

Figure 7 is a summary of our experimental evaluation and shows that our tool is able to match or exceed the manual partitioning schemes in terms of the number of distributed transactions on all benchmarks. In TPC-C, accesses to the various warehouses are uniform and each warehouse corresponds to its own partition. Our tool matches the manual partition scheme for TPC-C, which is known to be optimal. Horticulture also arrives at this optimal partitioning scheme on TPC-C.

The remaining benchmarks are characterized by significantly more complex data access patterns, and
TABLE 2
Per phase running time, on TPC-C with 15 warehouses and a 89MB input trace containing 42k txns.

<table>
<thead>
<tr>
<th>Tuple-level sampling rate</th>
<th>Creating graph from txn trace</th>
<th>METIS partitioning</th>
<th>Train placement classifiers</th>
<th>Compute partitions &amp; train routing classifiers</th>
</tr>
</thead>
<tbody>
<tr>
<td>5%</td>
<td>1m56</td>
<td>26s</td>
<td>22s</td>
<td>2m51s</td>
</tr>
<tr>
<td>10%</td>
<td>3m55</td>
<td>1m01s</td>
<td>37s</td>
<td>7m30s</td>
</tr>
<tr>
<td>20%</td>
<td>9m49</td>
<td>1m44s</td>
<td>1m02s</td>
<td>6m18</td>
</tr>
</tbody>
</table>

Fig. 8. Results on TPC-C for a workload configured with 3 warehouses, with different classifiers. The trace used contains approx. 1200 transactions. Boxes show average values. Black markers show best classifier. Horizontal line in 8(a) shows theoretical best.

Fig. 9. Best partition quality on TPC-C with increasing number of warehouses (lower is better). Horizontal line is theoretical minimum. Differences are minimal (less than 0.4%).

(a) Distributed Txns
(b) Misplaced Objects
(c) Misrouted Transactions

Fig. 10. Quality of partitioning and routing with respect to increasing trace size, on TPC-C (15 warehouses). In 10(a), horizontal line is optimal manual partitioning.

the automated tool proposes better partitions than our manual partitioning schemes. Good partitioning schemes for these benchmarks must take workload details into account, e.g., which users are most active, what are the social graphs between users, etc. Such details are complex and usually ignored in manual partitioning, which leads to sub-optimal partitions.

TPC-W and AuctionMark observed the largest improvements, with distributed transactions reduced from 24% (and respectively 14%) to only 2%. This reduction was possible because the objects observed to be frequently accessed together were placed in the same partition. Epinions and ReTwis also benefited from automatic partitioning, but to a lesser extent. This can be attributed to the difficulty to partition the many-to-many relationships from these workloads.

We used three classifier types (Naive Bayes [15], Multilayer Perceptron [14] and C4.5 decision trees [28]) for both object placement and transaction routing. Figure 8 shows results for a sample TPC-C workload. In this workload, approximately 10.3% of all issued transactions span more than one warehouses. These transactions would be executed as distributed transactions under the best known manual partitioning for TPC-C, i.e., each warehouse in its own partition, and all item objects replicated at all partitions. We find that using C4.5 decision trees for placement and routing gives the best results, both in terms of minimizing distributed transactions and in terms of avoiding misrouted transactions. This applied in all the workloads that we have tested.

Our system proposes high quality partitions. By manual inspection of the resulting decision trees,
we determined that many of our best partitions were identical to the best known manual partitioning scheme for TPC-C. The same conclusion is also supported by Figure 9, which compares the ratio of distributed transaction between our best partitions and the optimal manual partitioning, as the data size (number of warehouses) is increased.

We scope out a direct comparison against Schism — both our system and Schism essentially propose the same partitions (optimal) on TPC-C. Unlike Schism, our system is able to use the independent transaction model for most distributed transactions in our workloads. Instead, Schism would use all 2PC-coordinated transactions, leading to lower performance. A direct comparison between independent transactions and 2PC-coordinated transactions was reported in [8].

Due to the random sampling of tuples and transactions, not every partitioning attempt had the same optimal result. This can be observed in Figure 8(a), where the best cases match the theoretical minimum of distributed transactions, but the average case is a few percentage points away. Several of the trained classifiers reached 100% routing accuracy on our test set, as seen in Figure 8(c). To deal with the inherent variability of random sampling, we repeated the partitioning process several times and chose the best result.

As the data size is increased, however, the size of the trace that is the input to the system must also increase, otherwise the partition quality decreases. For example, if 3 warehouses only needed a trace with 1.2k transactions to give good partitions, 7 warehouses required 3.5k transactions and 15 warehouses needed 11k transactions. Figure 10 shows how the quality of partitioning and routing evolves with increasing the trace size, for 15 warehouses. In practice, one would likely start with a short trace (which can be evaluated faster) and progressively increase the trace size until the partition quality stops improving.

To show how our process scales as we increase the graph size, we present running times for the various phases in Table 2. We varied the graph size by adjusting the tuple-level sampling factor (i.e., the ratio of data items present in the transaction trace that we represent as nodes in the graph, the remaining data items are ignored). We notice that a majority of the time is spent in the graph representation and evaluation phase. In the evaluation phase, most time is spent computing routing information for each transaction in the input trace (training the routing classifiers is relatively fast). We believe these two most time-consuming operations could be further optimized.

While our prototype only supports off-line partitioning, we can estimate a live system would exhibit a trade-off between three factors: system performance during partitioning, the duration of partitioning and spare CPU capacity. Since Granola executes all transactions using a single thread, its maximum throughput is limited by the performance of a single core, and not by the number of CPU cores available. Thus, as long as spare CPU cores are available towards partitioning, there will be no interaction between the transaction execution system and the separate partitioning tool. Transactional throughput can still be affected by run-time logging, but the impact can be arbitrarily reduced through sampling, at the expense of needing more time to complete a trace. If spare CPU capacity is still available, certain phases of the partitioning process can be parallelized to further speed up partitioning.

Figures 11 and 12 show transactional throughput measurements. PaxosSTM is faster than our approach on a few of the workloads (AuctionMark-2, EPinions-2). This happens when contention is low and PaxosSTM benefits from running multiple transactions concurrently. On higher contention workloads however, Granola’s single-threaded approach performs better. This effect is strongest on TPC-C, where all transactions relating to the same warehouse conflict.

Furthermore, PaxosSTM does not scale with increasing number of nodes, as all transactions must be coordinated across all participants. Our partitioning approach on the other hand is scalable, especially when the ratio of distributed transactions is low. This can be seen on EPinions and ReTwis, as the number of nodes is increased from 2 to 5 (and 10 respectively). With 2 nodes, PaxosSTM is similar or faster than the Granola approach, but as more nodes are added, throughput under the Granola model increases while on PaxosSTM it stagnates.

Our throughput measurements also reflect the benefits of automated partitioning as compared to manual partitioning. TPC-W observed the best improvement, of almost 4.5x (measured with 10 nodes). The throughput with manual partitioning did not increase as more nodes were added to the system, because of the large ratio of distributed transactions. With automatic partitioning however, throughput increased significantly as the experiment was scaled up.

AuctionMark and ReTwis showed more modest improvements. In ReTwis the manual partitioning scheme is already good – further improvements are difficult. In AuctionMark, despite having fewer distributed transactions, the transactions span more partitions and are thus slower. In TPC-C, both the manual and automatic partitions were already optimal, so the throughput only reflects the overheads of classifying objects at runtime. This effect is more pronounced at higher node-counts, when the thread executing Granola transactions becomes saturated.

In EPinions however, automated partitioning became significantly slower than the manual partitions as the number of partitions in the system was increased from 2 to 5, despite still having a lower ratio of distributed transactions. This situation is caused by a skew in the workload towards a single partition, which then becomes a bottleneck. The issue can
be resolved by balancing the partitions in terms of offered workload instead of data size. The workload would thus be evenly distributed across all partitions, although the data distribution may become skewed instead (some partitions hold more data than others).

We additionally varied the fraction of distributed transactions in a TPC-C workload to simulate the effect that partition quality has on throughput. Results are shown in Figure 13. Fewer distributed transactions clearly lead to better performance. This effect is strongest when distributed transactions account for less than about 10-15% of the total workload. Thus, optimizing the quality of partitioning can be very beneficial and is especially important for workloads with less than 10-15% distributed transactions.

9 RELATED WORK

In the last decade, several proposals for scalable transactional storage [10], [1], [5], [3] are presented. Some of them target large scalability relaxing strong consistency [10], [5] ensuring respectively eventual and timeline consistency. Megastore in [3] is designed for very large scale on the Internet and it is based on state machine replication. Sinfonia [1] is similar to Granola but it requires a-priori knowledge of lock-set and it does not support independent transactions.

In context of DTM, a number of papers recently appeared [26], [27], [23]. They provide new protocols that optimize particular scenarios but none of them reaches performance comparable to Granola. Additionally, some of them are based on partial replication where data is always stored manually over the nodes without exploiting any automation that allows optimizing the application access pattern. Our new automatic framework for partitioning data, although it is suited for the Granola [8] model, can be adopted (partially or totally) by any of the previous works for improving the locality of transactional accesses.

Partitioning techniques have been widely studied in context of DBMS where the typical approach is to enumerate possible partition schemes and evaluate them using different methodologies. Horticulture [25] is a system similar in spirit to our work. It targets OLTP in workloads that may sustain temporal skew, and was implemented in H-Store [16], a main-memory NewSQL system. Horticulture employs a partitioning algorithm derived from a large neighborhood search. It however lacks support for independent distributed transactions, and chooses a partitioning strategy at table granularity as opposed to object granularity.

In [22], Nehme and Bruno implement and evaluate several automatic partitioning algorithms into the query optimizer for a data warehouse system with long, complex queries. Due to the nature of such a system, the optimization goals are different: data movement costs must be reduced for each query due to the sheer amount of data involved. The work in [21] also targets data warehouse systems, but optimizes for data skipping instead. In contrast to these two approaches, our system aims to reduce distributed transactions when the workload is composed of short, low-latency OLTP transactions.

In [33], the authors propose a stochastic approach for clustering data in object oriented DBMS. In context of distributed storage systems, [4] and [5] propose systems which continuously re-partition data to increase the balancing. Unfortunately these strategies cannot be easily ported to transaction processing due to the presence of incoming transactional requests. AutoPart [24] is an automated scheme designed for multi-terabyte datasets, without any OLTP requirements. A dynamic vertical partitioning approach based on query patterns was published in [29]. However it is better suited for applications where such information does not tend to change over time.
10 CONCLUSION

We have developed a methodology for using automatic data partitioning in a Granola-based Distributed Transactional Memory. We perform static byte-code analysis to determine transaction classes that can be executed using the independent transaction model. We also use the analysis results to propose partitions that promote independent transactions. Due to our DTM focus, we take a machine-learning approach for routing transactions to the appropriate partitions.

We evaluate our system on 5 benchmarks and in most cases observe improvements in both the ratio of distributed transactions and transactional throughput. The largest improvements (up to 4.5x in throughput) were observed on benchmarks where different objects were frequently accessed together in non-trivial patterns. We additionally provide the first investigation of single-repository and independent transaction models on several benchmarks other than TPC-C.

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